COPY OF PAPERS ORIGINALLY FILED

Modified) PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

MAR 2 5 TOTAL

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/954,692

Filing Date September 12, 2001

First Named Inventor Phillip A. Patten

Group Art Unit 1645 Unassigned Unassigned Attorney Docket Number 02-020522US

ENCLOSURES (check all that apply)								
Fee Transmitt	tal Form		Assignment Papers (for an Application)		After Allowance Communication to Group			
Fee Att	tached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment A	/ Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After i	Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information			
Affida	vits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter			
Extension of Time Request Express Abandonment Request Information Disclosure Statemen		Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer	X	Additional Enclosure(s) (please identify below):				
				Request for Corrected Filing Receipt, Copy of Filing				
			Small Entity Statement		Receipt (marked up) and			
			Request for Refund		receipt acknowledgment postcard			
	Missing Parts/	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.						
Incomplete A لـــــا	Incomplete Application							
Response to Missing Remarks			marks					
	Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Christopher C. Sappenfield, Reg. No. 45,073, Quine Intellectual Property Law Group P.C. Individual name								
Signature Wife (Inti)								
Date .	Date March 12,2002							
CERTIFICATE OF MAILING								

anyolong addressed to: Assistant Commissioner for Betanto Machineten D.C. 20024 on this date:	an
envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: $3-12-03$	て

Typed or printed name	Evelyn Gomez		·
Signature	alle 2	Date	3-12-02



COPY OF PAPERS ORIGINALLY FILED

Attorney Docket No. 02-020522US

I hereby certify that this correspondence is being deposited with the United

States Postal Service first class mail in an envelope addressed to: Assistant Corongissioner for Patents, Washington, D.C. 20231,

ECTUAL PROPERTY LAW GROUP, P.C.

RECEIVED

JUN 1 3 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Phillip A. Patten, et al.

Application No.: 09/954,692

Filed: September 12, 2001

For: **METHODS AND COMPOSITIONS**

FOR POLYPEPTIDE ENGINEERING

Examiner: Unassigned

Art Unit: 1645

REQUEST FOR CORRECTED FILING

RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the applicant's information should read as follows:

THIS APPLICATION IS A CON OF 08/769,062 12/18/1996 PAT 6,335,160

WHICH IS A CIP OF 08/621,859 03/25/1996 PAT 6,117,679

AND A CIP OF 08/564,955 11/30/1995 PAT 5,811,238

Phillip A. Patten, et al.

Application No.: 09/954,692

Page 2

It is believed that no fee is due. If a fee is due, please charge Deposit Account

No. 50-0893.

Respectfully submitted,

Christopher C. Sappenfie

Christopher C. Sappenfield Reg. No. 45,073

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

P.O. BOX 458 Alameda, CA 94501 (510) 337-7871 Fax (510) 337-7877





Page 1 of 2

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

	APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
_	09/954,692	09/12/2001	1645	710	02- 020522US	. 8	1	1

CONFIRMATION NO. 9103

LAW OFFICES OF JONATHAN ALAN QUINE P.O. BOX 45° P O BOX 458 ALAMEDA, CA 94501

FEB 08 2002

OC000000007407889

Quine Intellectual Property Law Group, P.C.

Date Mailed: 02/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Phillip A. Patten, Menlo Park, CA; Willem P. C. Stemmer, Los Gatos, CA; RECEIVED

JUN 1 3 2002

Assignment For Published Patent Application

Maxygen, Inc., Redwood City, CA;

TECH CENTER 1600/2900

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/769,062 12/18/1996 PAT 6,335,160 WHICH IS A CIP OF 08/621,859 03/25/1996 PAT 6,117,679 THIS APPLICATION 09/954.692 3 A CIP OF 08/564,955 11/30/1995 PAT 5,811,238

Foreign Applications

If Required, Foreign Filing License Granted 01/31/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

Methods and compositions for polypeptide engineering

Preliminary Class

435

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).